

TITLE I
GENERAL DEPARTMENTAL PROCEDURES

CHAPTER 1
DEPARTMENT ORGANIZATION

11—1.1(8A) Creation and mission. The department of administrative services (DAS) was established by the 80th General Assembly in 2003 Iowa Acts, House File 534. The department was created for the purpose of managing and coordinating the major resources of state government, including the human, financial, physical and informational resources.

The mission of the department is to implement a world-class, customer-focused organization that provides a complement of valued products and services to the internal customers of state government.

11—1.2(8A) Location. The department's primary office is located in the Hoover State Office Building, Level A-South, 1305 East Walnut Street, Des Moines, Iowa 50319-0150; telephone (515)242-5120. Office hours are 8 a.m. to 4:30 p.m., Monday through Friday, excluding holidays. The department's Web site at www.das.iowa.gov provides information about all department organizational units and services.

1.2(1) General services enterprise location. The general services enterprise's primary office is located in the Hoover State Office Building, Level A-South, 1305 East Walnut Street, Des Moines, Iowa 50319; telephone (515)242-5120. Office hours are 7:30 a.m. to 4:30 p.m., Monday through Friday, excluding holidays.

1.2(2) Human resources enterprise location. The human resources enterprise's primary office is located in the Grimes State Office Building, First Floor, East 14th Street and Grand Avenue, Des Moines, Iowa 50319-0150; telephone (515)281-3351. Office hours are 8 a.m. to 4:30 p.m., Monday through Friday, excluding holidays.

1.2(3) Information technology enterprise location. The information technology enterprise is located in the Hoover State Office Building, Level B, Des Moines, Iowa 50319. The general office telephone number is (515)281-5503. Hours of operation are 8 a.m. to 4:30 p.m., Monday through Friday, excluding holidays.

1.2(4) State accounting enterprise location. The state accounting enterprise's primary office is located in the Hoover State Office Building, Third Floor, 1305 East Walnut Street, Des Moines, Iowa 50319; telephone (515)281-4877. Office hours are 8 a.m. to 4:30 p.m., Monday through Friday, excluding holidays.

11—1.3(8A) Director. The chief executive officer of the department is the director, who is appointed by the governor with the approval of two-thirds of the members of the senate. The director serves at the pleasure of the governor.

The director has the statutory authority to designate an employee of the department to carry out the powers and duties of the director in the absence of the director, or due to the inability of the director to do so.

Specific powers and duties of the department, its director, boards, task forces, advisory panels, and employees are set forth in Iowa Code chapters 8A, 19B, 20, 70A, and 509A and these administrative rules.

11—1.4(8A) Administration of the department. In order to carry out the functions of the department, the following enterprises and divisions have been established:

1.4(1) General services enterprise. The mission of the general services enterprise is to act as the state's business agent to meet agencies' needs for quality, timely, reliable and cost-effective support services and provide a work environment that is healthy, safe, and well maintained. The chief operating officer, appointed by the director, heads the general services enterprise. The following divisions have been established within the general services enterprise:

a. Capitol complex maintenance. The capitol complex maintenance division is responsible for the maintenance, appearance, and facility sanitation of the capitol complex buildings and grounds, including environmental control (heating, ventilation and cooling) and all support features including, but not limited to, parking lot maintenance, main electrical distribution, water supply, wastewater removal, on-site safety consultation, and major maintenance projects associated with the capitol complex.

b. Design and construction. The design and construction division is responsible for vertical infrastructure management; building and monument restoration; management of leases and office space on and off the capitol complex; assignment of office space on the capitol complex; utilities management; and management of capital projects, including architectural, engineering, and construction management services for state agencies except for the board of regents, the department of transportation, the national guard, the natural resource commission and the Iowa public employees' retirement system.

c. Fleet, mail and printing. The fleet, mail and printing division is responsible for the management of vehicular risk and travel requirements for state agencies not exempted by law, for the processing and delivering of mail for state agencies on the capitol complex and in the Des Moines metropolitan area, and for printing and printing procurement services.

d. Service delivery. The service delivery division is responsible for the following functions for the enterprise: parking and building access, collection of fines and other payments, coordination of special events, general information, and work requests for the capitol complex; statewide purchasing and electronic procurement, including managing procurement of commodities, equipment and services for all state agencies not exempted by law; and administration of surplus property.

1.4(2) Human resources enterprise. The human resources enterprise is responsible for human resource management in the executive branch of Iowa state government and provides limited services to the judicial and legislative branches. The mission of the human resources enterprise is to support state agencies in their delivery of services to the people of Iowa by providing programs that recruit, develop, and retain a diverse and qualified workforce, and to administer responsible employee benefits programs for the members and their beneficiaries. The director appoints the chief operating officer of the enterprise. The following divisions have been established within the human resources enterprise:

a. Benefits. The benefits division administers and coordinates the provision of health, dental, life, and disability insurance programs; employee leave programs; workers' compensation, return to work, and loss control and safety programs; 457 deferred compensation; 403(b) tax-sheltered annuity and 401(a) employer match programs; unemployment insurance; flexible spending and premium conversion programs for state employees.

b. Employment. The employment division provides application, referral, recruitment, selection, EEO/AA and diversity services related to state employment; administration of the state classification and compensation programs; and audit of personnel and payroll transactions.

c. Program delivery services. The program delivery services division is responsible for employment relations between the state and the certified employee representative; provides consultative services to state departments, boards, and commissions on human resource program matters; provides organization and employee development services including workforce planning and performance evaluation; and represents the state in contested case matters regarding such programs.

1.4(3) Information technology enterprise. The mission of the information technology enterprise is to provide high-quality, customer-focused information technology services and business solutions to government and to citizens. The director appoints the chief operating officer of the enterprise. The following divisions have been established within the information technology enterprise:

a. Application and E-government services. The application and E-government services division is responsible for support of departmental information technology services; providing software applications development, support, and training; and providing advice and assistance in developing and supporting business applications throughout state government.

b. Infrastructure services. The infrastructure services division is responsible for providing server systems, including mainframe and other server operations, and desktop support.

c. Integrated Information for Iowa (I/3) project. The I/3 project office provides the strategic direction, functional deployment, and technical support for the I/3 system, including the enterprise accounting, procurement, budget preparation, human resources and payroll functions for the state of Iowa. I/3's vision is to provide greater responsiveness to customers, improved productivity, increased accountability and efficient delivery of services across state government, and consistent and accurate information that Iowans want.

d. Advisory groups.

(1) Information technology council. The information technology council is granted authority to advise the department in the development of recommended standards for the procurement of information technology by all participating agencies; advise the department in the preparation and annual update of the strategic information technology plan for the use of information technology throughout state government; review legislative proposals regarding information technology; and review recommendations of the IOWAccess advisory council regarding rates to be charged for access to and for value-added services performed through IOWAccess. The information technology council shall annually elect its own chairperson from among the voting members of the council.

(2) IOWAccess advisory council. The IOWAccess advisory council is established within the department for the purpose of creating and providing to the citizens of this state a gateway for one-stop electronic access to government information and transactions, whether federal, state, or local.

1.4(4) State accounting enterprise. The state accounting enterprise was created to provide for the efficient management and administration of the financial resources of state government. The chief operating officer, appointed by the director, heads the enterprise. The following functional units have been established within the state accounting enterprise:

a. Accounting and daily processing. The accounting and daily processing bureau includes the functions of daily processing, income offset, and financial systems.

b. Other sections. The state accounting enterprise also includes the financial reporting section, the I/3 program team, and the centralized payroll section.

1.4(5) Central administration.

a. The director is the chief executive officer for the department and the chief information officer for the state. The director's central administration area provides support to the director and to the governmental and business operations of the department and its enterprises. The following functions are included in this area: general counsel; legislative liaison; rules administrator; strategic, performance, and business continuity planning; program oversight and accountability; and departmental and enterprise policy and standards development, including enterprise information technology standards.

b. Information security office. The information security office is responsible for developing, implementing and maintaining information security policies, standards, and practices that enhance the confidentiality, integrity and availability of computer systems and electronic data resources, and for ensuring enterprise-wide compliance with security requirements. This office includes the chief information security officer for state government.

c. Marketing, communications and council support. Marketing, communications and council support supplies the department's media, public relations, and employee communications services; supports product and service marketing within each of the department's enterprises; and coordinates customer council activities for the department.

1.4(6) Customer management, finance and internal operations. This division provides customer management, finance and internal operations oversight, administration, and support in a manner that provides accurate and timely information, safeguards assets, and facilitates fiscally responsible, employee-centered and customer-focused decision making for the department. The functional units of the customer management, finance and internal operations division are:

a. Activity-based costing;

b. Accounts payable, purchasing, human resources, and administrative support;

c. Financial reporting and budget; and

d. Accounts receivable, billing, collections, and customer resource management.

These rules are intended to implement Iowa Code chapter 8A and sections 7E.5 and 17A.3.

11—1.5 and 1.6 Reserved.

11—1.7(68B) Selling of goods or services. An official of the department shall not sell, either directly or indirectly, any goods or services to individuals, associations, or corporations that are subject to the regulatory authority of the department except as authorized by the director in accordance with Iowa Code section 68B.4 and the provisions of this rule.

1.7(1) Definitions. For the purposes of this rule, the following definitions apply:

"*Compensation*" means any money, thing of value, or financial benefit conferred in return for the sale of goods or services rendered or to be rendered.

"*Department*" means the department of administrative services.

"*Director*" means the director of the department of administrative services.

“*Official*” means the director of the department of administrative services, the deputy executive or administrative head or heads of the department and the heads of the major subunits of the department whose positions involve a substantial exercise of administrative discretion or the expenditure of public funds.

“*Sale of goods or services*” means the receipt of compensation by an official for providing goods or services. For purposes of this rule, the sale of goods or services shall not apply to outside employment activities that constitute an employer-employee relationship not otherwise constituting a conflict of interest pursuant to Iowa Code section 68B.2A.

1.7(2) *Conditions for consent.* Consent to a sale of goods or services shall not be given unless all of the following conditions are met:

a. The job duties or functions performed by the official seeking consent are not related to the department’s regulatory authority over the individual, association, or corporation to which the goods or services will be sold.

b. The selling of the goods or services does not affect the official’s assigned job duties or functions in the department.

c. The selling of the goods or services does not cause the official to advocate on behalf of the individual or entity to the department.

d. The selling of the goods or services does not cause the official to sell goods or services to the department on behalf of the individual, association, or corporation.

e. The selling of the good or service will not create a conflict of interest as provided in Iowa Code section 68B.2A.

f. The request conforms with the procedural requirements of subrule 1.7(3).

1.7(3) *Requests for consent.* An official’s request for consent to the sale of goods or services shall comply with all the following:

a. A written request, signed by the official, seeking consent for the sale of goods or services shall be filed with the department director at least 20 calendar days in advance of the proposed sale of goods or services. A request shall not be considered filed until all information specified in paragraph 1.7(3) “b” is received.

b. The request shall include, but not be limited to, the following:

(1) The name of the individual, association, or corporation to which the goods or services are to be sold;

(2) A description of the goods or services;

(3) The anticipated date(s) of delivery of the goods or services;

(4) The approximate amount and form of compensation; and

(5) A statement by the official explaining why the proposed sale of goods or services will not violate the provisions of 2003 Iowa Code Supplement section 68B.4 as amended by 2004 Iowa Acts, Senate File 2179, section 5, or create a conflict of interest pursuant to Iowa Code section 68B.2A.

1.7(4) *Consent or denial.*

a. Who may consent or deny. The director, if not the subject of the request, will consider the request and consent to or deny the request. The director may require the submission of additional information prior to taking action on the request.

b. Timing and content of consent or denial. The director shall issue a written consent or denial of the request within 14 calendar days following the date the request was filed or the additional information was received. If the request is denied, the director shall state the reason(s) for the denial.

c. Approved requests are valid only to the extent that all relevant facts have been disclosed and the relevant facts under which consent was granted remain unchanged.

d. Approved requests are subject to immediate revocation at any time with written notice by the director to the requester.

e. Requests and responses are public records within the meaning of Iowa Code section 22.1 and are open for public examination.

1.7(5) *Effect of other laws.* Neither this rule nor any consent provided under this rule constitutes consent for any activity which would constitute a conflict of interest at common law or which would violate any applicable statute or rule. Despite consent under this rule, the sale of goods or services to someone subject to the jurisdiction of the department may violate the gift, bribery, or corruption laws of the state of Iowa. It is the responsibility of the official to ensure compliance with all applicable laws and to avoid both impropriety and the appearance of impropriety.

1.7(6) *Copy of consent filed.* Pursuant to 2003 Iowa Code Supplement section 68B.4 as amended by 2004 Iowa Acts, Senate File 2179, section 5, the department shall file a copy of the consent with the Iowa ethics and campaign disclosure board within 20 days of the granting of the consent.

This rule is intended to implement Iowa Code section 68B.4 as amended by 2004 Iowa Acts, Senate File 2179.

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CHAPTERS 2 and 3

Reserved